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CLERK U.S. DISTRICT COURT  
 CENTRAL DIST. OF CALIF.  
 LOS ANGELES

BY: \_\_\_\_\_

6 Attorneys for Plaintiffs,  
 7 LISA R. KIRBY, BARBARA J. KIRBY,  
 NEAL L. KIRBY, and SUSAN M. KIRBY

8

9 **UNITED STATES DISTRICT COURT**  
 10 **CENTRAL DISTRICT OF CALIFORNIA**

11 LISA R. KIRBY, as an individual  
 12 and as Trustee for THE  
 13 ROSALIND KIRBY TRUST, a  
 14 trust; BARBARA J. KIRBY, an  
 15 individual; NEAL L. KIRBY, an  
 16 individual; and SUSAN M.  
 17 KIRBY, an individual,

18 Plaintiffs,

19 vs.

20 MARVEL ENTERTAINMENT,  
 21 INC., a corporation; MARVEL  
 22 WORLDWIDE, INC., a  
 23 corporation; MARVEL  
 24 CHARACTERS, INC., a  
 25 corporation; MVL RIGHTS, LLC,  
 a corporation; THE WALT  
 DISNEY COMPANY, a  
 corporation; and DOES 1 through  
 10,

26 Defendants.

27 CASE NO. SACV10-00289-CJC(LAN)

28 **COMPLAINT FOR:**

- [1] DECLARATORY RELIEF RE: TERMINATION, 17 U.S.C. § 304(c);**
- [2] DECLARATORY RELIEF RE: PROFITS;**
- [3] CONVERSION; and**
- [4] VIOLATION OF LANHAM ACT, 15 U.S.C. § 1125.**

**DEMAND FOR JURY TRIAL**

1 Plaintiffs LISA R. KIRBY, BARBARA J. KIRBY, NEAL L. KIRBY, and  
 2 SUSAN M. KIRBY, by and through their attorneys of record, hereby allege as  
 3 follows:

4 **JURISDICTION AND VENUE**

5 1. This is a civil action seeking declaratory relief arising out of  
 6 Plaintiffs' termination, pursuant to the United States Copyright Act of 1976, 17  
 7 U.S.C. § 304(c), of prior grants of copyright in and to the original characters and  
 8 works created and/or co-created by Jack Kirby (a.k.a. Jacob Kurtzberg) ("Kirby"),  
 9 known as "The Fantastic Four," "Iron Man," "Ant-Man," "X-Men," "The  
 10 Incredible Hulk," "The Avengers," "Thor," "Nick Fury," "Spider-Man," "Journey  
 11 Into Mystery," "Rawhide Kid," "Strange Tales," "Tales of Suspense," "Amazing  
 12 Adventures" and "Tales to Astonish."

13 2. This Court has subject matter jurisdiction over the claims set forth in  
 14 this Complaint pursuant to the United States Copyright Act (hereinafter, the  
 15 "Copyright Act"), 17 U.S.C. § 101 *et al.* pursuant to the Lanham Act, 15 U.S.C. §§  
 16 1121 and 1125(a) and (c), and 28 U.S.C. §§ 1331 and 1338(a) and (b). This Court  
 17 has supplemental jurisdiction over the related state claim herein under 18 U.S.C. §  
 18 1367 in that these claims form part of the same case and controversy as the federal  
 19 claims herein.

20 3. This Court has personal jurisdiction over the Defendants in that  
 21 Defendants are regularly doing business in the State of California and in this  
 22 district and maintain contacts within the State of California and this district.

23 4. Venue is proper in the United States District Court for the Central  
 24 District of California pursuant to 28 U.S.C. §§ 1391(b) and (c) and 1400(a),  
 25 because Defendants are conducting business in this district and are subject to  
 26 personal jurisdiction in this district and because Defendants THE WALT DISNEY  
 27 COMPANY, MARVEL CHARACTERS, INC., and MVL RIGHTS, LLC have  
 28 their principal place of business in this district.

## **PARTIES**

5. Plaintiff LISA R. KIRBY (hereinafter “Lisa Kirby”) is an individual and a citizen of and resides in the State of California, in the County of Ventura, and is and at all times has been a citizen of the United States. Lisa Kirby is the daughter of Jack Kirby. Lisa Kirby is the Trustee of the Rosalind Kirby Trust, a California trust.

6. Plaintiff BARBARA J. KIRBY (hereinafter "Barbara Kirby") is an individual and a citizen of and resides in the State of New York, in the County of Putnam, and is and at all times has been a citizen of the United States. Barbara Kirby is the daughter of Jack Kirby.

7. Plaintiff NEAL L. KIRBY (hereinafter "Neal Kirby") is an individual and a citizen of and resides in the State of California, in the County of Orange and is and at all times has been a citizen of the United States. Neal Kirby is the son of Jack Kirby.

8. Plaintiff SUSAN M. KIRBY (hereinafter "Susan Kirby") is an individual and a citizen of and resides in the State of New York, in the County of Putnam, and is and at all times has been a citizen of the United States. Susan Kirby is the daughter of Jack Kirby.

9. Plaintiffs are informed and believe and based thereon allege that defendant THE WALT DISNEY COMPANY (hereinafter “Disney”) is a corporation organized and existing under the laws of the State of Delaware, which has its principal place of business in Los Angeles County, California.

10. Plaintiffs are informed and believe and based thereon allege that Defendant MARVEL ENTERTAINMENT, INC. (hereinafter, collectively with defendants MARVEL WORLDWIDE, INC., MARVEL CHARACTERS, INC., and MVL RIGHTS, LLC, “Marvel”) is a corporation organized and existing under the laws of the State of Delaware; and that MARVEL ENTERTAINMENT, INC. regularly conducts significant business in the State of California and in the County

1 of Los Angeles. Plaintiffs are informed and believe and based thereon allege that  
2 Marvel was recently purchased by Disney, on December 31, 2009.

3 11. Plaintiffs are informed and believe and based thereon allege that  
4 defendant MARVEL WORLDWIDE, INC. is a Delaware corporation maintaining  
5 its principal place of business in New York, New York, and that MARVEL  
6 WORLDWIDE, INC. regularly conducts significant business in the State of  
7 California and in the County of Los Angeles.

8 12. Plaintiffs are informed and believe and based thereon allege that  
9 defendant MARVEL CHARACTERS, INC. is a corporation organized and  
10 existing under the laws of the State of Delaware, which has its principal place of  
11 business in Los Angeles County, California.

12 13. Plaintiffs are informed and believe and based thereon allege that  
13 defendant MVL RIGHTS, LLC is a corporation organized and existing under the  
14 laws of the State of Delaware, which has its principal place of business in Los  
15 Angeles County, California.

16 14. Plaintiffs are informed and believe and based thereon allege that  
17 Marvel is the relevant successor-in-interest to the following entities: Timely  
18 Comics; Atlas Comics; Atlas Magazines, Inc.; Magazine Management Company,  
19 Inc.; Canam Publishers Sales Corporation; Non-Pareil Publishing Corporation;  
20 Vista Publications, Inc.; Zenith Publishing Corporation; Bard Publishing  
21 Corporation; Warwick Publications, Inc.; Male Publishing Corp.; Miss America  
22 Publishing Corporation; Chipiden Publishing Corporation; Marvel Comics Group;  
23 and Marvel Entertainment Group, Inc. (“Marvel’s Predecessor(s)”).

24 15. Plaintiffs are informed and believe and based thereon allege that  
25 defendants MARVEL ENTERTAINMENT, INC., MARVEL WORLDWIDE,  
26 INC., MARVEL CHARACTERS, INC., MVL RIGHTS, LLC and THE WALT  
27 DISNEY COMPANY (“Defendants”) are the alter-egos of each other and there  
28 exists a unity of interest and ownership among such Defendants such that any

1 separates has ceased to exist with respect to the works authored or co-authored  
 2 by Kirby that are the subject hereof.

3       16. Plaintiffs are informed and believe and based thereon allege that the  
 4 fictitiously named Defendants captioned hereinabove as Does 1 through 10,  
 5 inclusive, and each of them, were in some manner responsible or legally liable for  
 6 the actions, damages, events, transactions and circumstances alleged herein. The  
 7 true names and capacities of such fictitiously named defendants, whether  
 8 individual, corporate, associate, or otherwise are presently unknown to Plaintiffs,  
 9 and Plaintiffs will amend this Complaint to assert the true names and capacities of  
 10 such fictitiously named Defendants when the same have been ascertained. For  
 11 convenience, each reference herein to a named Defendant shall also refer to the  
 12 Doe Defendants and each of them.

13       17. Plaintiffs are informed and believe and based thereon allege that each  
 14 of the Defendants was the agent, partner, servant, employee, or employer of each  
 15 of the other Defendants herein, and that at all times herein mentioned, each of the  
 16 Defendants was acting within the course and scope of such employment,  
 17 partnership and/or agency and that each of the Defendants is jointly and severally  
 18 responsible for the damages hereinafter alleged.

19

20                   **FACTS COMMON TO ALL CLAIMS FOR RELIEF**

21       18. Kirby is widely considered to be one of the most talented and prolific  
 22 comic book artists/authors of all time. Beginning in 1936, and continuing almost  
 23 uninterrupted until his death in 1994, Kirby conceived, drew and authored  
 24 numerous comic books that were ultimately published by a wide variety of  
 25 publishers, including Marvel.

26       19. Between 1958 and 1963, Jack Kirby authored or co-authored  
 27 numerous original comic book stories featuring a variety of characters, including  
 28 "The Fantastic Four," "X-Men," "Iron Man," "Spider-Man," "The Incredible

1 Hulk," "Thor," "The Avengers," "Nick Fury" and "Ant-Man," which were  
 2 purchased by Marvel's Predecessors and published in their following periodicals:  
 3 *Amazing Adventures*, Vol. 1, Nos. 1-6; *Amazing Fantasy*, Vol. 1, No. 15; *The*  
 4 *Amazing Spider-Man*, Vol. 1, Nos. 1-7; *The Avengers*, Vol. 1, Nos. 1-2; *The*  
 5 *Fantastic Four*, Vol. 1, Nos. 1-21; *The Fantastic Four Annual*, No. 1; *Journey Into*  
 6 *Mystery*, Vol. 1, Nos. 51-98; *The Incredible Hulk*, Vol. 1, Nos. 1-6; *The Rawhide*  
 7 *Kid*, Vol. 1, Nos. 17-35; *Sgt. Fury and His Howling Commandoes*, Vol. 1, Nos. 1-  
 8 4; *Strange Tales*, Vol. 1, Nos. 67-115; *Tales of Suspense*, Nos. 1, 3-48; *Tales to*  
 9 *Astonish*, Vol. 1, Nos. 1, 3-50; and *The X-Men*, Vol. 1, Nos. 1-2 (hereinafter, the  
 10 "Kirby Works").

11       20. For much of this period, the comic book division of Marvel's  
 12 Predecessors was on the brink of bankruptcy due in large part to criticism in  
 13 Fredric Wertham's book, "Seduction of the Innocent," the ensuing 1954 hearings  
 14 of the Senate Subcommittee on Juvenile Delinquency, and the resulting censorship  
 15 imposed on the comic book industry by the introduction of the "Comic Code  
 16 Authority" in 1954. Shortly thereafter, the comic book market underwent a severe  
 17 contraction.

18       21. In the period relevant to this action, Marvel's Predecessors had a tiny  
 19 office, very few employees, and fed the printing presses of related entities with  
 20 comic book material purchased for publication from "freelancers" to which they  
 21 had little or no obligation.

22       22. During this period, Kirby was not an employee of any of Marvel's  
 23 Predecessors and was not paid a fixed salary or wage by any of them. Marvel's  
 24 Predecessors were not financially obligated to Kirby, kept their options open, and  
 25 thus never committed to any written agreement pursuant to which Kirby was to  
 26 create his works. Like many others during this difficult economic time, Kirby  
 27 worked solely on a freelance basis out of his own home, with his own instruments  
 28 and materials and thereby bore the financial risk of creating his copyrighted

1 materials. At completion, such material was submitted to Marvel's Predecessors,  
2 and if they accepted it for publication, they purchased Kirby's material at a per-  
3 page rate.

4       23. The Kirby Works were not created as "works-made-for-hire" for  
5 Marvel's Predecessors.

6       24. A decade later, on or about May 30, 1972, at the request of Marvel's  
7 Predecessors, Kirby entered into an agreement with Magazine Management Co.,  
8 Inc. whereby he assigned his copyrights in the Kirby Works to Magazine  
9 Management Co., Inc. for additional compensation (hereinafter, the "1972 Grant").  
10 Marvel is the alleged successor-in-interest to Magazine Management Co., Inc.

11       25. On September 16, 2009, Plaintiffs served by first class mail, postage  
12 prepaid, notices of termination, pursuant to the Copyright Act, 17 U.S.C. § 304(c)  
13 (hereinafter, the "Termination Notices") on each of the Defendants and a number  
14 of their subsidiaries, licensees and affiliates, terminating the 1972 Grant and any  
15 prior implied grant to Marvel's Predecessors of the renewal copyright to the Kirby  
16 Works listed in the notices of termination, including any character, story element  
17 or indicia reasonably associated with the Kirby Works, all as set forth in the  
18 Notices of Termination.

19       26. Plaintiffs are informed and believe and based thereon allege that the  
20 copyrights to all the Kirby Works listed in the Termination Notices were renewed  
21 by Marvel or Marvel's Predecessors.

22       27. The Notices of Termination were drafted and served on Defendants,  
23 all in full compliance with the Copyright Act, 17 U.S.C. § 304(c), and the  
24 regulations promulgated thereunder by the Register of Copyrights, 37 C.F.R. §  
25 201.10.

26       28. The Notices of Termination will terminate on their respective  
27 effective dates (hereinafter, the "Termination Dates") all operative prior grants or  
28 purported grants of the renewal copyrights in and to the Works for their extended

1 renewal terms.

2 29. On the Termination Dates, Plaintiffs will recapture ownership of  
 3 Kirby's original copyright interest in and to the Kirby Works for their respective  
 4 extended copyright renewal terms (hereinafter, the "Recaptured Copyrights").  
 5

6 **FIRST CLAIM FOR RELIEF**

7 (Declaratory Relief That the Notices of Termination Are Effective Pursuant to 17  
 8 U.S.C. § 304(c) - Against All Defendants)

9 30. Plaintiffs re-allege and incorporate by reference paragraphs 1 through  
 10 29 inclusive, as though fully set forth herein.

11 31. By reason of the foregoing facts, an actual and justiciable controversy  
 12 has arisen and now exists between Plaintiffs and Defendants under Federal  
 13 copyright law, 17 U.S.C. §§ 101 *et seq.*, concerning their respective rights and  
 14 interests in and to the copyrights to various Kirby Works, for which Plaintiffs  
 15 desire a declaration of rights.

16 32. Plaintiffs contend and Defendants deny that:

17 a. The Termination Notices are effective and will terminate on  
 18 their respective Termination Dates the 1972 Grant and any other operative  
 19 grants, assignments or transfers by Kirby of copyrights for the renewal terms  
 20 in and to each and/or all of the Kirby Works (as defined in paragraph 19  
 21 hereinabove) to any of Marvel's Predecessors, and will likewise terminate  
 22 any assignments or licenses of such copyrights by Marvel or the Marvel  
 23 Predecessors to third parties;

24 b. With respect to those Kirby Works solely authored by Kirby,  
 25 Plaintiffs will own the Recaptured Copyrights for their renewal terms as of  
 26 their respective Termination Dates;

27 c. With respect to those Kirby Works co-authored by Kirby  
 28 (hereinafter "Co-Owned Kirby Works"), Plaintiffs will own Kirby's

1                   undivided co-authorship share of the copyright to such works for their  
 2                   renewal terms as of their respective Termination Dates;

3                   d.        With respect to Co-Owned Kirby Works, Plaintiffs are entitled  
 4                   to a *pro rata* percentage of any and all proceeds, compensation, monies,  
 5                   profits, gains and advantages from the exploitation of, or attributable to, in  
 6                   whole or in part, such Co-Owned Kirby Works (hereinafter, sometimes  
 7                   referred to as “Profits”); and

8                   e.        With respect to Co-Owned Kirby Works, as of the respective  
 9                   Termination Dates, Defendants will jointly own the copyrights to such  
 10                  works for their renewal terms; both Plaintiffs and Defendants will have the  
 11                  non-exclusive right to exploit such jointly owned copyrights, subject to a  
 12                  duty to account to one another for a *pro rata* share of the Profits derived  
 13                  from such exploitation; and neither Plaintiffs nor Defendants will have the  
 14                  authority to confer exclusive licenses or grants to third parties with respect  
 15                  to such jointly owned copyrights, and/or any element thereof.

16                  33.      A declaration of the Court is necessary pursuant to the Declaratory  
 17                  Judgment Act, 28 U.S.C. §§ 2201 *et seq.*, so that the parties may know their  
 18                  respective rights and obligations with respect to the Termination Notices and the  
 19                  copyright interests thereby recaptured by Plaintiffs.

20                  **SECOND CLAIM FOR RELIEF**

21                  (Declaratory Relief Regarding the Principles to be Applied in an Accounting of  
 22                  Profits from the Exploitation of Jointly Owned Copyrights - Against All  
 23                  Defendants)

24                  34.      Plaintiffs re-allege and incorporate by reference paragraphs 1 through  
 25                  33 inclusive, as though fully set forth herein.

26                  35.      By reason of the foregoing facts, an actual and justiciable controversy  
 27                  has arisen and now exists between Plaintiffs and Defendants concerning how  
 28                  Profits from Co-Owned Kirby Works should be defined for purposes of

1 Defendants' and Plaintiffs' duty to account to one another for their respective  
2 exploitation of such works after their respective Termination Dates.

3 36. Plaintiffs contend and Defendants deny that:

4 a. Profits should include revenues from the exploitation of new  
5 derivative works based, in whole or in part, on Co-Owned Kirby Works and  
6 produced and/or completed on or after the respective Termination Dates, including  
7 but not limited to works produced and/or completed prior to such Termination  
8 Dates, but which are modified after such Termination Dates so as to comprise new  
9 derivative works under the Copyright Act (hereinafter collectively, "New  
10 Derivative Works");

11 b. Profits should include profits from New Derivative Works,  
12 notwithstanding that the underlying license agreement(s) for such New Derivative  
13 Works were entered into prior to the respective Termination Dates;

14 c. Profits should include Defendants' revenues from the  
15 exploitation on or after the respective Termination Dates of New Derivative Works  
16 in foreign territories, when such revenues result from the predicate exercise *in the*  
17 *United States* of any right under the copyright to a Co-Owned Kirby Work, by any  
18 Defendant, their licensees or assigns;

19 d. Profits should include Defendants' revenues from the  
20 exploitation of the copyright to a Co-Owned Kirby Work, or any elements thereof;  
21 notwithstanding that such copyright or copyrighted element is also subject to  
22 trademark protection or contains a registered trademark(s), if any, owned by any of  
23 the Defendants;

24 e. There should be no *apportionment* of Profits from the  
25 exploitation of a Co-Owned Kirby Work subject to an accounting, because such an  
26 accounting between joint copyright owners is governed by state law, which  
27 provides that each co-owner is entitled to a *pro rata* share of the Profits derived  
28 from co-owned property, irrespective of any "improvements" unilaterally made by

1 another co-owner;

2 f. Alternatively, if *apportionment* is ordered, it should apply only  
3 to Profits from the exploitation of a New Derivative Work *created by a Defendant*,  
4 but not to Profits from the mere *licensing* of a Co-Owned Kirby Work(s), because  
5 the compensation for such licensing inherently reflects market value  
6 *apportionment*;

7 g. Alternatively, if *apportionment* is ordered, there should be no  
8 *apportionment* for any item or element, the cost of which is already deducted in  
9 computing Defendants' Profits, because this would be "double counting";

10 h. Profits should include the Profits of the Marvel Defendants  
11 and/or their parent, Disney, and the Profits of any entity owned by either of them,  
12 and Defendants should be enjoined from reducing or diluting Plaintiffs' share of  
13 Profits by intra-corporate licensing between them or closely held or related entities;  
14 and

15 i. In determining Profits, deductible costs should include only  
16 reasonable costs directly attributable to the exploitation of New Derivative Works,  
17 of the type customarily deducted in arms' length agreements to exploit copyrights  
18 of comparable value, all in compliance with Generally Accepted Accounting  
19 Principles ("GAAP").

20 37. A declaration of the Court is necessary pursuant to the Declaratory  
21 Judgment Act, 28 U.S.C. §§ 2201 *et seq.*, so that the parties may know their  
22 respective rights and obligations with respect to Profits from the exploitation of the  
23 Recaptured Copyrights after the Termination Dates.

24 **THIRD CLAIM FOR RELIEF**

25 (Conversion – by Plaintiff Lisa R. Kirby, as Trustee for The Rosalind Kirby Trust  
26 ("Trustee") – Against all Defendants)

27 38. Plaintiff Trustee re-alleges and incorporates by reference paragraphs 1  
28 through 37 inclusive, as though fully set forth herein.

1       39.    Marvel's Predecessors took possession of Kirby's original artwork  
 2 (the "Kirby Artwork") for purposes of publishing the Kirby Works. The Kirby  
 3 Artwork is of great historical and artistic value and significance.

4       40.    Kirby was the lawful owner of the Kirby Artwork. Kirby died on  
 5 February 6, 1994, whereupon ownership of the Kirby Artwork passed to his  
 6 spouse, Rosalind Kirby. Upon the death of Rosalind Kirby, ownership of the  
 7 Kirby Artwork passed to The Rosalind Kirby Trust.

8       41.    In or around 1982, Jack Kirby demanded that Marvel return all of the  
 9 Kirby Artwork in its possession or control.

10       42.    Plaintiff Trustee is informed and believes, and based thereon alleges  
 11 that in or around 1984, the New York State Board of Equalization made inquiries  
 12 as to sales tax due in connection with Marvel's purchase of comic book artwork.

13       43.    Thereafter, commencing on or about October 16, 1986, Marvel  
 14 purported to return to Kirby all of the Kirby Artwork in its possession or control.  
 15 Marvel represented to Kirby that it had no other Kirby Artwork in its possession or  
 16 control than that returned to Kirby, and Kirby and his successors, including  
 17 Plaintiff Trustee, relied on Marvel's representations.

18       44.    Plaintiff Trustee is informed and believes and based thereon alleges  
 19 that Marvel retains in its possession certain Kirby Artwork that it did not return to  
 20 Kirby, thereby exerting dominion over such Kirby Artwork and converting it to  
 21 their own use. Plaintiff Trustee is informed and believes and based thereon alleges  
 22 that Marvel concealed and continues to conceal that Marvel retained certain Kirby  
 23 Artwork that it did not return to Kirby, and due to such ongoing concealment  
 24 Plaintiff Trustee did not demand that Marvel return such Kirby Artwork.

25       45.    The Kirby Trust is unaware of the ultimate disposition of the Kirby  
 26 Artwork converted by Marvel because such knowledge is within the exclusive  
 27 possession of Marvel.

28       46.    As a proximate result of Marvel's conversion, the Kirby Trust has

1 been deprived of its rightful possession of the Kirby Artwork, including the  
2 opportunity to use, enjoy, sell, license or otherwise dispose of such artwork, all to  
3 its damage in an amount to be determined at trial.

4       47. Defendants' acts alleged hereinabove were willful, wanton, malicious,  
5 and oppressive, and justify the awarding of exemplary and punitive damages.

## **FOURTH CLAIM FOR RELIEF**

(Violation of the Lanham Act, 15 U.S.C. § 1125(a)(1)(B) –

Against all Defendants)

9       48. Plaintiffs re-allege and incorporate by reference paragraphs 1 through  
10 47, inclusive, as though fully set forth herein.

11       49. Plaintiffs are informed and believe and based thereon allege that on or  
12 around June 13, 2008, the major motion picture *The Incredible Hulk* was released,  
13 based on the Kirby Works. On or about May 1, 2009, the major motion picture *X-*  
14 *Men Origins: Wolverine* was released, based on the Kirby Works (collectively, *The*  
15 *Incredible Hulk* and *X-Men Origins: Wolverine* are referred to as the “Kirby  
16 Films”).

17       50. Plaintiffs are informed and believe and based thereon allege that the  
18 Kirby Films were released pursuant to a license from Marvel or Marvel's  
19 predecessors to third party licensees, and that such licenses did not require the  
20 licensees to properly accredit Kirby as the author or co-author of the underlying  
21 works on which the Kirby Films were based.

22        51.    Marvel has manufactured, distributed and/or licensed innumerable  
23 products associated with the Kirby Films, such as merchandising (the “Kirby Film  
24 Merchandise”).

25        52. Plaintiffs are informed and believe and based thereon allege that in the  
26 Kirby Films and in the commercial advertising and promotion for the Kirby Films,  
27 Kirby was not properly identified by Marvel's licensees as the author or co-author  
28 of the underlying works on which the Kirby Films were based.

1       53. Plaintiffs are informed and believe and based thereon allege that in the  
2 commercial advertising and promotion for the Kirby Film Merchandise, Kirby was  
3 also not properly identified by Marvel as the author or co-author of the underlying  
4 works on which the Kirby Films and the Kirby Film Merchandise were based.

5       54. Plaintiffs are informed and believe and based thereon allege that  
6 Defendants thereby misrepresented in commercial advertising and promotion that  
7 Kirby is not the author or co-author of the Kirby Works underlying the Kirby  
8 Films and Kirby Film Merchandise. Such false claims, representations and  
9 wrongful omissions misrepresented in commercial advertising and promotion the  
10 nature, characteristics and qualities of the Kirby Films and Kirby Film  
11 Merchandise.

12       55. Plaintiffs are informed and believe and based thereon allege that such  
13 false or misleading descriptions, representations and omissions of fact regarding  
14 the Kirby Films and Kirby Film Merchandise in interstate commerce materially  
15 affected the purchasing decisions of consumers of such products.

16       56. Such use of false or misleading descriptions or representations of fact  
17 in interstate commerce is in opposition to the protection of the public interest.

18       57. Plaintiffs are informed and believe and based thereon allege that such  
19 false or misleading descriptions or representations were made by Marvel with a  
20 willful disregard for the public interest.

21       58. Plaintiffs are in commercial competition with Marvel with respect to  
22 the sale and licensing of works authored or co-authored by Kirby, and of derivative  
23 works based thereon.

24       59. Defendants' wrongful conduct has proximately caused and will  
25 continue to cause Plaintiffs substantial injury and damage including, without  
26 limitation, loss of customers, dilution of goodwill, injury to their business  
27 reputation, lost profits and diminution of the value of their interests in Kirby's  
28 works, derivative products and commercial activities and in Kirby's name and

reputation.

60. The ongoing harm this wrongful conduct will cause to Plaintiffs is both imminent and irreparable, and the amount of damage sustained by Plaintiffs will be difficult to ascertain if such wrongful conduct is allowed to continue unabated.

61. By reason of the foregoing, Defendants have violated and continue to violate the Lanham Act, 15 U.S.C. § 1125(a)(1)(B).

8       62. Plaintiffs are entitled to an injunction, during the pendency of this  
9 action and permanently, restraining Defendants, their officers, agents and  
10 employees, and all persons acting in concert with them, from misrepresenting that  
11 Kirby is not the author or co-author of the Kirby Works underlying the Kirby  
12 Films, the Kirby Film Merchandise and associated derivative products.

13       63. Plaintiffs have no adequate remedy at law with respect to these  
14 ongoing violations.

15       64. Plaintiffs are further entitled to recover from Defendants, under 15  
16 U.S.C. § 1117(a), up to three times the damages they sustained and will sustain,  
17 and any income, gains, profits, and advantages obtained by Defendants as a result  
18 of their wrongful acts and omissions alleged hereinabove, plus reasonable  
19 attorneys' fees and costs, in an amount which cannot yet be fully ascertained, but  
20 which shall be assessed at the time of trial.

22 WHEREFORE, Plaintiffs pray for relief as follows:

## **PRAYER FOR RELIEF**

## ON THE FIRST CLAIM FOR RELIEF

25 | 65. For a declaration as follows:

26 a. That pursuant to the Copyright Act, 17 U.S.C. § 304(c),

27 Plaintiffs will validly terminate, on the respective Termination Dates, all prior  
28 grants, assignments or transfers of the renewal copyrights in and to each and/or all

1 of the Kirby Works to any of the Defendants and any of their predecessors-in-  
2 interest;

3                   b.        That, with respect to Kirby Works *solely authored* by Kirby,  
4 Plaintiffs will exclusively own the Recaptured Copyrights thereto for their renewal  
5 terms as of their respective Termination Dates, and any prior assignments or  
6 licenses to third parties of the renewal copyrights to such Kirby Works, in whole or  
7 in part, by Marvel or Marvel's Predecessors will be terminated as of the respective  
8 Termination Dates;

9                   c.        That, with respect to Kirby Works *co-authored* by Kirby,  
10 Plaintiffs will own a *pro rata* share of the copyrights thereto for their renewal  
11 terms as of their respective Termination Dates;

12                   d.        That, with respect to such Co-Owned Kirby Works, Plaintiffs  
13 are entitled to a *pro rata* percentage of any and all Profits attributable thereto, in  
14 whole or in part; and

15                   e.        That, with respect to such Co-Owned Kirby Works, both  
16 Plaintiffs and Defendants will each have the non-exclusive right to exploit the  
17 jointly owned copyrights thereto; that both Plaintiffs and Defendants will be  
18 subject to a duty to account to the other for a *pro rata* share of the Profits derived  
19 from such exploitation; and that neither Plaintiffs nor Defendants will have the  
20 authority to confer exclusive copyright licenses or grants to third parties with  
21 respect to such Co-Owned Kirby Works, or any element thereof.

22                   ON THE SECOND CLAIM FOR RELIEF

23        66.      With respect to Plaintiffs' and Defendants' duty to account to one  
24 another for their Profits from Co-Owned Kirby Works, for a declaration as  
25 follows:

26                   a.        That Profits should include Defendants' revenues from the  
27 exploitation of any and all New Derivative Works;

28                   b.        That Profits should include Defendants' revenues from any

1 New Derivative Works, notwithstanding that the underlying license agreement(s)  
2 for such New Derivative Works were entered into prior to the respective  
3 Termination Dates;

4 c. That Profits include Defendants' revenues from New Derivative  
5 Works in foreign territories, when such revenues result from the predicate exercise  
6 *in the United States* of any right under the copyright to a Co-Owned Kirby Work,  
7 by any Defendant, their licensees or assigns;

8 d. That Profits include Defendants' revenues from the exploitation  
9 of the Co-Owned Kirby Works or any elements thereof in New Derivative Works;  
10 notwithstanding that such copyrights or copyrighted elements are also subject to  
11 trademark protection or comprise registered trademarks owned by Defendants, if  
12 any;

13 e. That there should be no *apportionment* of Profits since  
14 Plaintiffs are entitled to a *pro rata* share of all such Profits as joint owners of the  
15 Co-Owned Kirby Works;

16 f. Alternatively, that apportionment, if any, will apply only to  
17 Profits from the exploitation of the Co-Owned Kirby Works in New Derivative  
18 Works created by a Defendant, but not to Profits from the mere *licensing* of the  
19 Co-Owned Kirby Works by any of the Defendants;

20 g. Alternatively, that there will be no apportionment for any item  
21 or element, the cost of which is already deducted in computing Defendants'  
22 Profits;

23 h. That Profits include the Profits of any and all Defendants, their  
24 subsidiaries and divisions; and

25 i. That in determining Profits, only reasonable costs directly  
26 attributable to the exploitation of the Co-Owned Kirby Works, of the type  
27 customarily deducted in arms' length agreements to exploit copyrights of  
28 comparable value to that of the Co-Owned Kirby Works, should be deducted from

1 gross revenues, all in compliance with GAAP.

2 ON THE THIRD CLAIM FOR RELIEF

3 67. For the value of the property converted;

4 68. For interest at the legal rate on the foregoing sum pursuant to Section  
5 3336 of the Civil Code;

6 69. For damages for the proximate and foreseeable loss resulting from  
7 Defendants' acts according to proof as shall be determined at trial;

8 70. For interest at the legal rate on the foregoing sum pursuant to Section  
9 3287(a) of the Civil Code;

10 71. For damages for time and money properly expended in pursuit of the  
11 converted property in the sum of an amount to be determined at trial;

12 72. For punitive and exemplary damages.

13 ON THE FOURTH CLAIM FOR RELIEF

14 73. For an order preliminarily and thereafter permanently enjoining  
15 Defendants from making such false or misleading descriptions, representations,  
16 and omissions of fact in connection with the Kirby Films, Kirby Film  
17 Merchandise, and Defendants' licensing and commercial activities, and from  
18 engaging in any further violations of the Lanham Act;

19 74. For up to three times the damages Plaintiffs sustained and will sustain  
20 and any income, gains, profits, and advantages obtained by Defendants as a result  
21 of their violation of the Lanham Act in an amount which cannot yet be fully  
22 ascertained, but which shall be assessed at the time of trial;

23 75. For such and other relief and remedies available under the Lanham  
24 Act, 15 U.S.C. §§ 1125 and 1117, which the Court may deem just and proper.

25 ON ALL CLAIMS FOR RELIEF

26 76. For Plaintiffs' costs of suit;

27 77. For interest at the highest lawful rate on all sums awarded Plaintiffs  
28 other than punitive damages;

1       78. For reasonable attorneys' fees; and  
2       79. For such other and further relief as the Court may deem just and  
3 proper.

4

5       DATED: March 9, 2010

6       TOBEROFF & ASSOCIATES, P.C.

7       By 

8       Marc Toberoff

9

10      Attorneys for Plaintiffs LISA R. KIRBY,  
11      BARBARA J. KIRBY, NEAL L. KIRBY, and  
12      SUSAN M. KIRBY

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1 **JURY TRIAL DEMANDED**

2 Plaintiffs hereby request a trial by jury on each claim for relief alleged in the  
3 Complaint.

4 DATED: March 9, 2010

5 TOBEROFF & ASSOCIATES, P.C.

6 By



7 Marc Toberoff

8  
9 Attorneys for Plaintiffs LISA R. KIRBY,  
10 BARBARA J. KIRBY, NEAL L. KIRBY, and  
11 SUSAN M. KIRBY

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**UNITED STATES DISTRICT COURT  
CENTRAL DISTRICT OF CALIFORNIA**

**NOTICE OF ASSIGNMENT TO UNITED STATES MAGISTRATE JUDGE FOR DISCOVERY**

This case has been assigned to District Judge Cormac J. Carney and the assigned discovery Magistrate Judge is Arthur Nakazato.

The case number on all documents filed with the Court should read as follows:

**SACV10 - 289 CJC (ANx)**

Pursuant to General Order 05-07 of the United States District Court for the Central District of California, the Magistrate Judge has been designated to hear discovery related motions.

All discovery related motions should be noticed on the calendar of the Magistrate Judge

=====

**NOTICE TO COUNSEL**

*A copy of this notice must be served with the summons and complaint on all defendants (if a removal action is filed, a copy of this notice must be served on all plaintiffs).*

Subsequent documents must be filed at the following location:

**Western Division**  
312 N. Spring St., Rm. G-8  
Los Angeles, CA 90012

**Southern Division**  
411 West Fourth St., Rm. 1-053  
Santa Ana, CA 92701-4516

**Eastern Division**  
3470 Twelfth St., Rm. 134  
Riverside, CA 92501

Failure to file at the proper location will result in your documents being returned to you.

Name & Address: Marc Toberoff (CA No. 188547)  
 Nicholas C. Williamson (CA No. 231124)  
 TOBEROFF & ASSOCIATES, P.C.  
 2049 Century Park East, Suite 2720  
 Los Angeles, CA 90067  
 T: (310) 246-3333; F: (310) 246-3101

UNITED STATES DISTRICT COURT  
 CENTRAL DISTRICT OF CALIFORNIA

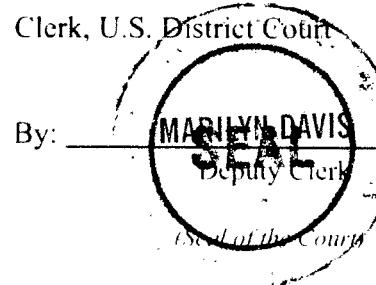
Lisa R. Kirby, as an individual and as Trustee for the Rosalind Kirby Trust, a trust; Barbara J. Kirby, an individual; Neal L. Kirby, an individual; and Susan M. Kirby, an individual,	CASE NUMBER
v.  PLAINTIFF(S)	<u>SACV10-00289-CJC(ANA)</u>
Marvel Entertainment, Inc., a corporation; Marvel Worldwide, Inc., a corporation; Marvel Characters, Inc., a corporation; MVL Rights, LLC, a corporation; The Walt Disney Company, a corporation; and Does 1 through 10 DEFENDANT(S).	SUMMONS

TO: DEFENDANT(S): Marvel Entertainment, Inc., Marvel Worldwide, Inc., Marvel Characters, Inc., MVL Rights, LLC and The Walt Disney Company

A lawsuit has been filed against you.

Within 21 days after service of this summons on you (not counting the day you received it), you must serve on the plaintiff an answer to the attached  complaint  amended complaint  counterclaim  cross-claim or a motion under Rule 12 of the Federal Rules of Civil Procedure. The answer or motion must be served on the plaintiff's attorney, Marc Toberoff, whose address is Toberoff & Associates, P.C. 2049 Century Park East, Suite 2720, Los Angeles, CA 90067. If you fail to do so, judgment by default will be entered against you for the relief demanded in the complaint. You also must file your answer or motion with the court.

Dated: - 9 MAR 2010



*[Use 60 days if the defendant is the United States or a United States agency, or is an officer or employee of the United States. Allowed 60 days by Rule 12(a)(3).]*

COPY

UNITED STATES DISTRICT COURT, CENTRAL DISTRICT OF CALIFORNIA  
CIVIL COVER SHEETI (a) PLAINTIFFS (Check box if you are representing yourself )

Lisa R. Kirby, as an individual and as Trustee for the Rosalind Kirby Trust, a trust; Barbara J. Kirby, an individual; Neal L. Kirby, an individual; and Susan M. Kirby, an individual.

## DEFENDANTS

Marvel Entertainment, Inc., a corporation; Marvel Worldwide, Inc., a corporation; Marvel Characters, Inc., a corporation; MVL Rights, LLC, a corporation; The Walt Disney Company, a corporation; & Does 1 Through 10

## (b) Attorneys (Firm Name, Address and Telephone Number. If you are representing yourself, provide same.)

Marc Toberoff (CA State Bar No. 188547)  
TOBEROFF & ASSOCIATES, P.C., 2049 Century Park East, Suite 2720,  
Los Angeles, CA 90067, T: (310) 246-3333

## Attorneys (If Known)

## II. BASIS OF JURISDICTION (Place an X in one box only.)

1 U.S. Government Plaintiff  3 Federal Question (U.S. Government Not a Party)

2 U.S. Government Defendant  4 Diversity (Indicate Citizenship of Parties in Item III)

III. CITIZENSHIP OF PRINCIPAL PARTIES - For Diversity Cases Only  
(Place an X in one box for plaintiff and one for defendant.)

	PTF	DEF	PTF	DEF
Citizen of This State	<input type="checkbox"/> 1	<input type="checkbox"/> 1	Incorporated or Principal Place of Business in this State	<input type="checkbox"/> 4 <input type="checkbox"/> 4
Citizen of Another State	<input type="checkbox"/> 2	<input type="checkbox"/> 2	Incorporated and Principal Place of Business in Another State	<input type="checkbox"/> 5 <input type="checkbox"/> 5
Citizen or Subject of a Foreign Country	<input type="checkbox"/> 3	<input type="checkbox"/> 3	Foreign Nation	<input type="checkbox"/> 6 <input type="checkbox"/> 6

## IV. ORIGIN (Place an X in one box only.)

1 Original  2 Removed from State Court  3 Remanded from Appellate Court  4 Reinstated or Reopened  5 Transferred from another district (specify):  6 Multi-District Litigation  7 Appeal to District Judge from Magistrate Judge

V. REQUESTED IN COMPLAINT: JURY DEMAND:  Yes  No (Check 'Yes' only if demanded in complaint.)CLASS ACTION under F.R.C.P. 23:  Yes  No

## MONEY DEMANDED IN COMPLAINT: \$ to be determined at trial

VI. CAUSE OF ACTION (Cite the U.S. Civil Statute under which you are filing and write a brief statement of cause. Do not cite jurisdictional statutes unless diversity.)  
Declaratory relief regarding validity of copyright notices of termination served pursuant to 17 U.S.C. 304(c).

## VII. NATURE OF SUIT (Place an X in one box only.)

OTHER STATUTES	CONTRACT	TORTS	TORTS	PRISONER PETITIONS	LABOR
<input type="checkbox"/> 400 State Reapportionment	<input type="checkbox"/> 110 Insurance	<input type="checkbox"/> PERSONAL INJURY	<input type="checkbox"/> PERSONAL PROPERTY	<input type="checkbox"/> 510 Motions to Vacate Sentence Habeas Corpus	<input type="checkbox"/> 710 Fair Labor Standards Act
<input type="checkbox"/> 410 Antitrust	<input type="checkbox"/> 120 Marine	<input type="checkbox"/> 310 Airplane	<input type="checkbox"/> 370 Other Fraud	<input type="checkbox"/> 530 General Property Damage	<input type="checkbox"/> 720 Labor/Mgmt. Relations
<input type="checkbox"/> 430 Banks and Banking	<input type="checkbox"/> 130 Miller Act	<input type="checkbox"/> 315 Airplane Product Liability	<input type="checkbox"/> 371 Truth in Lending	<input type="checkbox"/> 535 Death Penalty	<input type="checkbox"/> 730 Labor/Mgmt. Reporting & Disclosure Act
<input type="checkbox"/> 450 Commerce/ICC Rates/etc.	<input type="checkbox"/> 140 Negotiable Instrument	<input type="checkbox"/> 320 Assault, Libel & Slander	<input type="checkbox"/> 380 Other Personal Property Damage	<input type="checkbox"/> 540 Mandamus/ Other	<input type="checkbox"/> 740 Railway Labor Act
<input type="checkbox"/> 460 Deportation	<input type="checkbox"/> 150 Recovery of Overpayment & Enforcement of Judgment	<input type="checkbox"/> 330 Fed. Employers' Liability	<input type="checkbox"/> 385 Product Liability	<input type="checkbox"/> 550 Civil Rights	<input type="checkbox"/> 790 Other Labor Litigation
<input type="checkbox"/> 470 Racketeer Influenced and Corrupt Organizations	<input type="checkbox"/> 151 Medicare Act	<input type="checkbox"/> 340 Marine	<input type="checkbox"/> 422 Appeal 28 USC 158	<input type="checkbox"/> 555 Prison Condition	<input type="checkbox"/> 791 Empl. Ret. Inc. Security Act
<input type="checkbox"/> 480 Consumer Credit	<input type="checkbox"/> 152 Recovery of Defaulted Student Loan (Excl. Veterans)	<input type="checkbox"/> 345 Marine Product Liability	<input type="checkbox"/> 423 Withdrawal 28 USC 157	<b>FORFEITURE / PENALTY</b>	<b>PROPERTY RIGHTS</b>
<input type="checkbox"/> 490 Cable/Sat TV	<input type="checkbox"/> 153 Recovery of Overpayment of Veteran's Benefits	<input type="checkbox"/> 350 Motor Vehicle	<input type="checkbox"/> 441 Voting	<input type="checkbox"/> 610 Agriculture	<input checked="" type="checkbox"/> 820 Copyrights
<input type="checkbox"/> 810 Selective Service	<input type="checkbox"/> 160 Stockholders' Suits	<input type="checkbox"/> 355 Motor Vehicle Product Liability	<input type="checkbox"/> 442 Employment	<input type="checkbox"/> 620 Other Food & Drug	<input type="checkbox"/> 830 Patent
<input type="checkbox"/> 850 Securities/Commodities/ Exchange	<input type="checkbox"/> 190 Other Contract	<input type="checkbox"/> 360 Other Personal Injury	<input type="checkbox"/> 443 Housing/Accommodations	<input type="checkbox"/> 625 Drug Related Seizure of Property 21 USC 881	<input type="checkbox"/> 840 Trademark
<input type="checkbox"/> 875 Customer Challenge 12 USC 3410	<input type="checkbox"/> 195 Contract Product Liability	<input type="checkbox"/> 365 Personal Injury-Product Liability	<input type="checkbox"/> 444 Welfare	<input type="checkbox"/> 630 Liquor Laws	<b>SOCIAL SECURITY</b>
<input type="checkbox"/> 890 Other Statutory Actions	<input type="checkbox"/> 196 Franchise	<input type="checkbox"/> 368 Asbestos Personal Injury Product Liability	<input type="checkbox"/> 445 American with Disabilities - Employment	<input type="checkbox"/> 640 R.R. & Truck	<input type="checkbox"/> 861 HIA (1395ff)
<input type="checkbox"/> 891 Agricultural Act	<b>REAL PROPERTY</b>	<input type="checkbox"/> 462 Naturalization Application	<input type="checkbox"/> 446 American with Disabilities - Other	<input type="checkbox"/> 650 Airline Regs	<input type="checkbox"/> 862 Black Lung (923)
<input type="checkbox"/> 892 Economic Stabilization Act	<input type="checkbox"/> 210 Land Condemnation	<input type="checkbox"/> 463 Habeas Corpus- Alien Detainee	<input type="checkbox"/> 440 Other Civil Rights	<input type="checkbox"/> 660 Occupational Safety /Health	<input type="checkbox"/> 863 DIWC/DIWW (405(g))
<input type="checkbox"/> 893 Environmental Matters	<input type="checkbox"/> 220 Foreclosure	<input type="checkbox"/> 465 Other Immigration Actions		<input type="checkbox"/> 690 Other	<input type="checkbox"/> 864 SSID Title XVI
<input type="checkbox"/> 894 Energy Allocation Act	<input type="checkbox"/> 230 Rent Lease & Ejectment				<input type="checkbox"/> 865 RS1 (405(g))
<input type="checkbox"/> 895 Freedom of Info. Act	<input type="checkbox"/> 240 Torts to Land				<b>FEDERAL TAX SUITS</b>
<input type="checkbox"/> 900 Appeal of Fee Determination Under Equal Access to Justice	<input type="checkbox"/> 245 Tort Product Liability				<input type="checkbox"/> 870 Taxes (U.S. Plaintiff or Defendant)
<input type="checkbox"/> 950 Constitutionality of State Statutes	<input type="checkbox"/> 290 All Other Real Property				<input type="checkbox"/> 871 IRS-Third Party 26 USC 7609

FOR OFFICE USE ONLY: Case Number:

SACV10-00289

AFTER COMPLETING THE FRONT SIDE OF FORM CV-71, COMPLETE THE INFORMATION REQUESTED BELOW.

**UNITED STATES DISTRICT COURT, CENTRAL DISTRICT OF CALIFORNIA**  
**CIVIL COVER SHEET**

**VIII(a). IDENTICAL CASES:** Has this action been previously filed in this court and dismissed, remanded or closed?  No  Yes  
 If yes, list case number(s): \_\_\_\_\_

**VIII(b). RELATED CASES:** Have any cases been previously filed in this court that are related to the present case?  No  Yes  
 If yes, list case number(s): \_\_\_\_\_

**Civil cases are deemed related if a previously filed case and the present case:**

(Check all boxes that apply)

- A. Arise from the same or closely related transactions, happenings, or events; or
- B. Call for determination of the same or substantially related or similar questions of law and fact; or
- C. For other reasons would entail substantial duplication of labor if heard by different judges; or
- D. Involve the same patent, trademark or copyright, and one of the factors identified above in a, b or c also is present.

**IX. VENUE:** (When completing the following information, use an additional sheet if necessary.)

(a) List the County in this District; California County outside of this District; State if other than California; or Foreign Country, in which EACH named plaintiff resides.  
 Check here if the government, its agencies or employees is a named plaintiff. If this box is checked, go to item (b).

County in this District: Lisa R. Kirby- Ventura County, CA The Rosalind Kirby Trust- Ventura County, CA Neal L. Kirby- Orange County, CA	California County outside of this District; State, if other than California; or Foreign Country Barbara J. Kirby- New York; Susan M. Kirby- New York
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(b) List the County in this District; California County outside of this District; State if other than California; or Foreign Country, in which EACH named defendant resides.  
 Check here if the government, its agencies or employees is a named defendant. If this box is checked, go to item (c).

County in this District: Marvel Characters Inc., MVL Rights, LLC & The Walt Disney Company: Los Angeles County	California County outside of this District; State, if other than California; or Foreign Country Marvel Entertainment, Inc. & Marvel Worldwide, Inc.: New York
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(c) List the County in this District; California County outside of this District; State if other than California; or Foreign Country, in which EACH claim arose.

**Note: In land condemnation cases, use the location of the tract of land involved.**

County in this District: Declaratory Relief Re: Notices of Termination (17 U.S.C. 304(c)), Declaratory Relief Re: Profits, Lanham Act Claims, Conversion- Los Angeles County	California County outside of this District; State, if other than California; or Foreign Country
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**\* Los Angeles, Orange, San Bernardino, Riverside, Ventura, Santa Barbara, or San Luis Obispo Counties**

**Note: In land condemnation cases, use the location of the tract of land involved**

**X. SIGNATURE OF ATTORNEY (OR PRO PER):**  **Date** March 9, 2010

**Notice to Counsel/Parties:** The CV-71 (JS-44) Civil Cover Sheet and the information contained herein neither replace nor supplement the filing and service of pleadings or other papers as required by law. This form, approved by the Judicial Conference of the United States in September 1974, is required pursuant to Local Rule 3-1 is not filed but is used by the Clerk of the Court for the purpose of statistics, venue and initiating the civil docket sheet. (For more detailed instructions, see separate instructions sheet.)

Key to Statistical codes relating to Social Security Cases:

<b>Nature of Suit Code</b>	<b>Abbreviation</b>	<b>Substantive Statement of Cause of Action</b>
861	HIA	All claims for health insurance benefits (Medicare) under Title 18, Part A, of the Social Security Act, as amended. Also, include claims by hospitals, skilled nursing facilities, etc., for certification as providers of services under the program. (42 U.S.C. 1935FF(b))
862	BL	All claims for "Black Lung" benefits under Title 4, Part B, of the Federal Coal Mine Health and Safety Act of 1969. (30 U.S.C. 923)
863	DIWC	All claims filed by insured workers for disability insurance benefits under Title 2 of the Social Security Act, as amended; plus all claims filed for child's insurance benefits based on disability. (42 U.S.C. 405(g))
863	DIWW	All claims filed for widows or widowers insurance benefits based on disability under Title 2 of the Social Security Act, as amended. (42 U.S.C. 405(g))
864	SSID	All claims for supplemental security income payments based upon disability filed under Title 16 of the Social Security Act, as amended.
865	RSI	All claims for retirement (old age) and survivors benefits under Title 2 of the Social Security Act, as amended. (42 U.S.C. (g))